
Guidelines for Exemptions from Financial Institution Officer Licenses

State-chartered banks may elect to opt out of the licensing requirements for active executive officers, and state-chartered credit unions may elect to opt out of the licensing requirements for loan officers. See, Section 8-139 of the Nebraska Banking Act and Section 21-1770 of the Nebraska Credit Union Act.

The Nebraska Department of Banking and Finance (“Department”) has adopted forms and processes for financial institutions that wish to elect exemption. The process requires a resolution of the Board of Directors, the content of which is included on the forms. Examiners will review Board minutes to determine compliance.

The forms must be signed and dated. There are no fees associated with these filings.

The forms may be mailed, delivered, or electronically submitted to the Department.

Mail to:

Nebraska Department of Banking and Finance
Financial Institutions Division
PO Box 95006
Lincoln, Nebraska 68509-5006

Deliver to:

Nebraska Department of Banking and Finance
Financial Institutions Division
1526 K Street, Suite 300
Lincoln, Nebraska 68508-2732

Electronic submissions to:

Nebraska Department of Banking and Finance
DOB.Banking@nebraska.gov

Questions regarding the forms and processes should be directed to a Department Financial Institutions Review Examiner at 402-471-2171.