

NEBRASKA

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Department of Banking and Finance



Pete Ricketts, Governor

CONSUMER ALERT

CONTACT: Thomas A. Sindelar, Investigation Supervisor
PHONE: (402) 471-2171
EMAIL: thomas.sindelar@nebraska.gov

Omaha Company Ordered to Stop Soliciting Investors

MARCH 20, 2017 (LINCOLN, NEB.) — The Nebraska Department of Banking and Finance (“Department”) issued a Cease and Desist Order against an Omaha-based company, Parker Grant BMC, Inc., its President Daniel M. Porter (“Porter”), and its affiliates, control persons, officers, directors, agents, and employees. The Order prohibits the entity and individuals named from offering or selling securities in Nebraska until they are registered as broker-dealers or agents of a broker-dealer with the Department.

In 2016, Porter, through Parker Grant BMC, Inc., contacted investor leads for Ameriworks, Inc., headquartered in East Stroudsburg, Pennsylvania. Ameriworks, Inc. sold shares of its common stock to investors across the United States. Parker Grant and/or Porter received a finder’s fee for each sale of stock resulting from one of his leads. Neither Parker Grant nor Porter were registered in Nebraska as a broker-dealer or agent of a broker-dealer. The solicitation of investments by unlicensed broker-dealers is illegal under Nebraska law.

Individuals who invested in the above securities are asked to contact the Department.

More information about the laws governing the securities industry in Nebraska can be found on the Department’s website at www.ndbf.nebraska.gov. If you have questions about any investment matters, call the Department’s Consumer Hotline toll free at (877) 471-3445 in Nebraska, or (402) 471-3445 if you are out of state.

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